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<b>(54) Title:</b> HYDROPHOBIC PREPARATIONS		
<b>(57) Abstract</b>  Single phase preparations of hydrophilic species, in particular macromolecular compounds such as proteins or glycoproteins in a hydrophobic solvent such as an oil can be obtained by preparing a hydrophile/amphiphile array in which the hydrophilic head groups of the amphiphile are orientated towards the hydrophilic species and bringing the array into contact with the hydrophobic solvent. The preparations of the invention can be used alone or can be combined with an aqueous phase to form emulsions in which the hydrophilic species is present in the hydrophobic phase. The compositions of the present invention are versatile and have application in the pharmaceutical, food, cosmetic, chemical and agricultural industries.		

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HYDROPHOBIC PREPARATIONS

The present invention relates to preparations of substances in hydrophobic solvents in which they would not normally be soluble and to processes for obtaining these preparations. In particular, the invention relates to preparations of hydrophilic species in hydrophobic solvents such as oils.

The invention in particular applies to hydrophilic macromolecules which would not normally be soluble in oils or other hydrophobic solvents.

For many applications, e.g. in the pharmaceutical sciences, in food technology or the cosmetics industry, work with proteins and similar macromolecules presents problems because their hydrophilicity and high degree of polarity limit the extent to which they can interact with or incorporate into lipid phases. Many natural systems employ lipidic barriers (eg skin, cell membranes) to prevent access of hydrophilic molecules to internal compartments; the ability to disperse proteins in lipidic vehicles would open up a new route to introduction of these macromolecules into biological systems, whereby the lipid medium containing the protein can integrate with the hydrophobic constituents of barriers, instead of being excluded by them.

Another area where dissolution of proteins into oils may confer advantage is for the use of enzymes in organic phases. Enzymic syntheses are becoming increasingly important compared to chemical processes because of their much lower energy needs, greater substrate and product specificities, high yields, and the fact that many

reactions are catalysed which are impossible by chemical means. Recent findings that enzymes can remain active in organic environments have opened up many additional possibilities. Thus, reactions involving lipophilic substrates and products may be catalysed effectively, and enzyme stability is often much greater than in aqueous environments, allowing them to be used in much more extreme conditions such as at high temperature. A very important aspect is that reactions involving hydrolytic enzymes such as lipases and peptidases can preferentially go in the reverse direction in low water environments, thus enabling the synthesis of a wide range of industrially important compounds. Another application is where a complex chain of reactions is involved in which the multiple catalytic units need to be maintained in close proximity to each other. Such might be the case in light-initiated redox reactions. An additional possibility is the controlled production of nanoparticulates in oil phase, using enzymes to induce mineralisation by action on organometallic substrates. The preparation of a stable dispersion of preformed nanoparticulates in oil phase may also be advantageous for the performance of certain surface-catalysed reactions.

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Dispersion of hydrophilic substances in oil phase rather than aqueous media confers other benefits in terms of increasing their stability with respect to temperature-mediated denaturation, hydrolysis, light sensitivity etc. Oils can be chosen which remain fluid over a wider temperature range than aqueous solutions, or that have a higher viscosity, resulting in greater protection against physical damage. In mixed-phase systems, sequestration of proteins in oil can limit mutually harmful

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interactions - eg oxidation - with water-soluble compounds.

5 There are examples of formulations containing both macromolecules and oil and one such example is disclosed in EP-A-0366277. The formulation disclosed in this document is an emulsion having both a hydrophobic and a hydrophilic phase, wherein the hydrophobic phase contains chylomicra or chylomicron-forming lipids. However, the  
10 macromolecule is dissolved in the hydrophilic phase not in the hydrophobic phase.

EP-A-0521994 also relates to a composition suitable for the oral delivery of macromolecules which comprises a  
15 biologically active material in association with lecithin or a compound capable of acting as a precursor for lecithin in vivo. All of the compositions exemplified are formulations which comprise a hydrophilic and a lipophilic phase. Once again, in this prior art  
20 document, the macromolecule is dissolved in the hydrophilic phase rather than in the lipophilic phase.

Although the formulations mentioned above do contain both macromolecules and oils, it is significant that in  
25 all cases the macromolecule is dissolved in the hydrophilic rather than in the lipophilic phase. Attempts to form true solutions of macromolecules in oils have met with limited success.

30 The present invention relates to the surprising discovery that if a hydrophilic species is mixed with an amphiphile under certain conditions, the resultant composition will be readily soluble in lipophilic solvents such as oils.

In a first aspect of the present invention there is provided a process for the preparation of a single phase hydrophobic preparation comprising a hydrophilic species, in a hydrophobic solvent, the process comprising:

(i) associating the hydrophilic species with an amphiphile in a liquid medium such that, in the liquid medium, there is no chemical interaction between the amphiphile and the hydrophilic species;

(ii) removing the liquid medium to leave an array of amphiphile molecules with their hydrophilic head groups orientated towards the hydrophilic species; and

(iii) providing a hydrophobic solvent around the hydrophilic species/amphiphile array.

In the context of the present invention, the term "chemical interaction" relates to an interaction such as a covalent or ionic bond or a hydrogen bond. It is not intended to include van der Waals forces or other interactions of that order of magnitude.

It has been found that the order in which the components of the preparation are mixed is particularly important. In one attempt to prepare a molecular dispersion, we mixed a macromolecular compound (an example of a hydrophilic species) with the hydrophobic solvent and then added amphiphile whilst in an alternative procedure, a macromolecular compound was added to a mixture of the hydrophobic solvent and the amphiphile. However, both of these approaches result in the production of a grainy dispersion of the macromolecular compound in the solvent

rather than in a true molecular dispersion. It was found that only by adding the macromolecular compound to the amphiphile in such a way that an array is produced in which the hydrophilic head groups of the amphiphile are orientated towards the macromolecule and then dissolving this array in the hydrophobic solvent, could a single phase preparation be produced.

As mentioned above, the hydrophilic species and the amphiphile are associated in a liquid medium and in many cases the array is formed in the liquid medium before it is removed. This occurs when the amphiphile and liquid medium are such that the array is formed in the liquid medium even in the absence of a hydrophilic species.

In the present invention the term "hydrophilic species" relates to any species which is generally soluble in aqueous solvents but insoluble in hydrophobic solvents. The range of hydrophilic species of use in the present invention is diverse but hydrophilic macromolecules represent an example of a species which may be used.

A wide variety of macromolecules is suitable for use in the present invention. In general, the macromolecular compound will be hydrophilic or will at least have hydrophilic regions since there is usually little difficulty in solubilising a hydrophobic macromolecule in oily solutions. Examples of suitable macromolecules include proteins and glycoproteins, oligo and polynucleic acids, for example DNA and RNA, polysaccharides and supramolecular assemblies of any of these including, in some cases, whole cells or organelles. It may also be convenient to co-solubilise a small molecule such as a vitamin in association with a macromolecule, particularly

a polysaccharide such as a cyclodextrin. Small molecules such as vitamin B12 may also be chemically conjugated with macromolecules and may thus be included in the compositions.

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Examples of particular proteins which may be successfully solubilised by the method of the present invention include insulin, calcitonin, haemoglobin, cytochrome C, horseradish peroxidase, aprotinin, mushroom tyrosinase, erythropoietin, somatotropin, growth hormone, growth hormone releasing factor, galanin, urokinase, Factor IX, tissue plasminogen activator, superoxide dismutase, catalase, peroxidase, ferritin, interferon, Factor VIII and fragments thereof (all of the above proteins can be from any suitable source). Other macromolecules may be used are FITC-labelled dextran and RNA extract from Torulla yeast.

It seems that there is no upper limit of molecular weight for the macromolecular compound since dextran having a molecular weight of about 1,000,000 can easily be solubilised by the process of the present invention.

In addition to macromolecules, the process of the present invention is of use in solubilising smaller organic molecules. Examples of small organic molecules include glucose, carboxyfluorescein and many pharmaceutical agents, for example anti-cancer agents, but, of course, the process could equally be applied to other small organic molecules, for example vitamins or pharmaceutically or biologically active agents. In addition, compounds such as calcium chloride and sodium phosphate can also be solubilised using this process. Indeed, the present invention would be particularly



advantageous for pharmaceutically and biologically active agents since the use of non aqueous solutions may enable the route by which the molecule enters the body to be varied, for example to increase bioavailability.

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Another type of species which may be included in the hydrophobic compositions of the invention is an inorganic material such as a small inorganic molecule or a colloidal substance, for example a colloidal metal. The process of the present invention enables some of the properties of a colloidal metal such as colloidal gold, palladium, platinum or rhodium, to be retained even in hydrophobic solvents in which the particles would, under normal circumstances, aggregate. This could be particularly useful for catalysis of reactions carried out in organic solvents.

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A process somewhat similar to that of the present invention is disclosed by Okahata et al (J. Chem. Soc. Chem. Commun., 1988, 1392-1394). However, it seems that the array of protein surrounded by amphiphile molecules produced by the authors of that document differed considerably from that produced by the method of the present invention. In particular, the authors stated that the amphiphile molecules reacted with the protein in the liquid medium by hydrogen bonding or via an electrostatic interaction to form a solid precipitate. In contrast, it seems that in the present invention the hydrophilic species does not interact chemically with the amphiphile molecules in the liquid medium.

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There are numerous amphiphiles which may be used in the present invention and zwitterionic amphiphiles such as phospholipids are among those which have been found to be

especially suitable. Phospholipids having a phosphatidyl choline head group have been used with particular success and examples of such phospholipids include phosphatidyl choline (PC) itself, lyso-phosphatidyl choline (lyso-PC), sphingomyelin, derivatives of any of these, for example hexadecylphosphocholine or amphiphilic polymers containing phosphoryl choline. In the present application, the terms phosphatidyl choline (PC) and lecithin are used interchangeably. Suitable natural lecithins may be derived from any convenient source, for example egg and, in particular, soya. In most cases, it is preferable to select an amphiphile which is chemically similar to the chosen hydrophobic solvent and this is discussed in greater detail below.

The fact that the present inventors have found zwitterionic amphiphiles such as phospholipids to be particularly suitable for use in the process is a further indication of the significant differences between the present invention and the method of Okahata *et al.* Significantly, the authors of that prior art document concluded that anionic and zwitterionic lipids were completely unsuitable for use in their method and stated that they obtained zero yield of their complex using these lipids.

The hydrophobic solvent of choice will depend on the purpose for which the composition is intended, on the type of species to be solubilised and on the amphiphile. Suitable solvents include long chain fatty acids with unsaturated fatty acids such as oleic and linoleic acids being preferred, alcohols, particularly medium chain alcohols such as octanol and branched long chain alcohols such as phytol, monoglycerides such as glycerol

monooleate (GMO), diglycerides and triglycerides, particularly medium chain triglycerides and mixtures thereof.

5 Optimum results are generally obtained when the hydrophobic solvent and the amphiphile are appropriately matched. For example, with a solvent such as oleic acid, lyso-PC is a more suitable choice of amphiphile than PC, whereas the converse is true when the hydrophobic solvent  
10 is a triglyceride.

In addition, in some cases it has been found to be advantageous to add a quantity of the amphiphile to the hydrophobic solvent before it is brought into contact  
15 with the hydrophilic species/amphiphile array. This ensures that the amphiphile molecules are not stripped away from their positions around the hydrophilic species because of the high affinity of the amphiphile for the hydrophobic solvent.

20 It is very much preferred that the preparations of the invention are optically clear and this can be monitored by measuring turbidity at visible wave lengths and, in some cases, by checking for sedimentation over a period  
25 of time.

A hydrophile/amphiphile array in which the hydrophilic head groups of an amphiphile are orientated towards a hydrophilic species has been produced before but it has  
30 never been suggested that this type of composition may be soluble in lipophilic solvents.

Kirby et al, in Bio/Technology, November 1984, 979-984 and in Liposome Technology, Volume I, pages 19-27,

Gregoriadis, Ed., CRC Press, Inc., Boca Raton, Florida, USA describe a method for the preparation of liposomes in which a phospholipid is suspended in distilled water to form small unilamellar vesicles or multilamellar vesicles, mixed with the material to be entrapped and freeze dried. The mixture is then rehydrated to give liposomes.

At the time of publication of this prior art there was extensive worldwide interest in the preparation of liposomes but the idea of producing a single phase hydrophobic preparation of a macromolecule seems either never to have been thought of or to have been dismissed as impossible or of little value. Certainly, there is no suggestion in any of the prior art that the intermediate arrays could be put to any other use than the preparation of liposomes. Even if a single phase hydrophobic preparation had been a desirable objective, the idea of adding a hydrophobic rather than a hydrophilic solvent would have been unlikely to have been taken seriously because there was a strong prejudice in the art against hydrophobic preparations of hydrophilic molecules.

The orientation of amphiphile molecules into an array with their hydrophilic head groups facing the moieties of a hydrophilic species can be achieved in several ways and examples of particularly suitable methods are discussed in more detail below.

In a first method, which has a similar starting point to the method described by Kirby et al, supra, a hydrophilic species is mixed with a dispersion of an amphiphile in a hydrophilic solvent, such that the amphiphile molecules form an assembly in which the hydrophilic head groups

face outwards towards the hydrophilic phase which contains the hydrophilic species. The hydrophilic solvent is then removed to leave a dry composition in which the hydrophilic head groups of the amphiphile molecules are orientated towards the hydrophilic species.

In the method described by Okahata et al, a solution of a protein was also mixed with a dispersion of an amphiphile in water. However, significantly, the authors of that paper believed that it was necessary to obtain a precipitate which would then be soluble in hydrophobic solvents. Since many of the preferred amphiphiles of the present invention do not form such a precipitate, Okahata et al concluded that they would be of no use. In the process of the present invention, no precipitate is required and, indeed, it is generally thought to be undesirable to allow the formation of a precipitate since this results in a reduced yield of the required product.

In this first method, it is preferred that the hydrophilic solvent is water although other polar solvents may be used.

The form taken by the amphiphile assembly may be micelles, unilamellar vesicles, preferably small unilamellar vesicles which are generally understood to have a diameter of about 25 nm, multilamellar vesicles or tubular structures, for example cochleate cylinders, hexagonal phase, cubic phase or myelin type structures.

The form adopted will depend upon the amphiphile which is used and, for example, amphiphiles such as phosphatidyl choline (PC) tend to form small unilamellar vesicles whereas lyso-phosphatidyl choline forms micelles. However, in all of these structures, the hydrophobic

tails of the amphiphile molecules face inwards towards the centre of the structure while the hydrophilic head groups face outwards towards the solvent in which the hydrophilic species is dispersed.

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The weight ratio of amphiphile:hydrophilic species will generally be in the region of from 1:1 to 100:1, preferably from 2:1 to 20:1 and most preferably about 8:1 for PC and 4:1 for lyso-PC.

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These ratios are preferred ratios only and, in particular, it should be pointed out that the upper limit is set by economic considerations which mean that it is preferable to use the minimum possible amount of amphiphile. The lower limit is somewhat more critical and it is likely that ratios of 2:1 or below would only be used in cases where the hydrophilic species has a significant hydrophobic portion or is exceptionally large.

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Good performance is obtained when the solvent is removed quickly and a convenient method for the removal of the solvent is lyophilisation, although other methods can be used.

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In some cases, it may be helpful to include salts in the hydrophilic solution, particularly if the hydrophilic species is a macromolecular compound such as a large protein. However, because the presence of larger amounts of inorganic salts tends to give rise to the formation of crystals and, hence, to a cloudy solution, it is preferred that organic salts are used rather than inorganic salts such as sodium chloride. Ammonium acetate is especially suitable for this purpose since it

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has the additional advantage that it is easily removed by freeze drying.

5 A second method for the preparation of a composition containing an array of amphiphiles with their head groups pointing towards the moieties of the hydrophilic species is to co-solubilise the hydrophilic species and the amphiphile in a common solvent followed by removal of the solvent.

10 This second method of forming the array is novel and itself forms a part of the invention.

15 Therefore, in a second aspect of the invention there is provided a process for forming a hydrophile/amphiphile array wherein the hydrophilic head groups of the amphiphile molecules are orientated towards the hydrophilic species, the process comprising co-solubilising a hydrophilic species and an amphiphile in  
20 a common solvent and subsequently removing the common solvent.

When this method is used, it is preferred that the weight ratio of amphiphile:hydrophilic species is from about 1:1  
25 to 50:1, preferably from 2:1 to 10:1 and most preferably about 4:1.

The common solvent must, of course, dissolve both the amphiphile and the hydrophilic species and will, for  
30 preference, be a polar organic solvent such as dimethylformamide, dimethylsulphoxide or, most suitably, glacial acetic acid.

In this method, in contrast to the first method, it is

unlikely that an array will be formed before the removal of the common solvent.

5 It seems probable that, on removal of the solvent, the  
amphiphile molecules tend to order themselves in sheets  
with their head groups towards the hydrophilic species  
and their lipophilic tail groups facing away from the  
hydrophilic species. However, the effectiveness of the  
present invention does not depend on the accuracy or  
10 otherwise of this observation.

It has been observed that good results are obtained when  
the solvent is removed slowly, for example by drying  
under a stream of nitrogen, probably because this allows  
15 more time for the amphiphile molecules to reorder  
themselves.

A third method for forming the hydrophile/amphiphile  
array comprises emulsifying a solution of the amphiphile  
20 in a hydrophobic solvent with a solution of the  
hydrophilic species in a hydrophilic solvent to give an  
emulsion, and removing the solvents.

The emulsion may be either a water-in-oil or an oil-in-  
25 water type, but if a small hydrophilic species is used  
rather than a macromolecule, then a water-in-oil emulsion  
may be more suitable.

Any hydrophobic solvent for the amphiphile may be used,  
30 but for the water-in-oil emulsions preferred for use with  
small hydrophilic species, a low boiling point solvent  
such as diethyl ether is preferred since it has been  
found that the best results are obtained when the  
hydrophobic solvent is removed slowly by gentle methods



such as evaporation and, clearly, this is most effective using a low boiling point solvent. Low boiling point solvents are also preferred for water-in-oil emulsions although, for these, lyophilisation is a more suitable method of solvent removal. The hydrophilic solvent will preferably be aqueous.

The weight ratio of amphiphile:hydrophilic species may be from about 1:1 to 50:1, preferably from 2:1 to 10:1 and most preferably about 4:1.

The ratio of hydrophilic solution to hydrophobic solution is not critical, but if small hydrophilic species are used, it is preferably such as to ensure the formation of a water-in-oil emulsion rather than an oil-in-water emulsion.

When a water-in-oil emulsion is formed, the third method is suitable for use with any type of hydrophilic species but the first and second methods have been found to be less suited to use with small molecules than the third method.

An alternative method of forming the array, which may be particularly suited to use with small hydrophilic species, is to entrap the hydrophilic species in closed lipid vesicles such as small unilamellar vesicles (SUVs) dispersed in a hydrophilic solvent and then to remove the solvent.

The product of the process of the invention is new since it makes possible the production of single phase hydrophobic preparations comprising a hydrophilic species which would not normally be soluble in a hydrophobic

solvent. Therefore, in a third aspect of the invention there is provided a single phase hydrophobic preparation comprising a hydrophilic species in a hydrophobic solvent obtainable by the process of the invention.

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Additionally, the present invention also provides a single phase hydrophobic preparation comprising a hydrophilic species and an amphiphile in a hydrophobic solvent, characterised in that the moieties of the hydrophilic species are surrounded by amphiphile molecules with the hydrophilic head groups of the amphiphile molecules orientated towards the hydrophilic species.

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Preferred hydrophilic species, amphiphiles and hydrophobic solvents are as specified for the process just described.

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It may also be desirable to include other constituents in the single phase hydrophobic preparation in addition to the hydrophilic species. This is often particularly appropriate when the hydrophilic species is a macromolecule and, in that case, the preparation may include, for example, bile salts, vitamins or other small molecules which bind to or are otherwise associated with the macromolecules.

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Although some macromolecule/amphiphile arrays were disclosed by Kirby et al, supra, the arrays disclosed were all intermediates in the formation of liposomes and, as discussed above, there has been no previous interest in non-liposomal or hydrophobic compositions comprising this type of entity. Therefore, the arrays of the present invention in which the amphiphile is one which

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does not form small unilamellar vesicles and would therefore not be expected to form liposomes are new.

5 In a further aspect of the invention there is provided an array of amphiphile molecules and hydrophilic species characterised in that the hydrophilic head groups of the amphiphile molecules are orientated towards the hydrophilic species and wherein there is no chemical interaction between the amphiphile and the hydrophilic species, provided that the amphiphile is one which is not  
10 capable of forming liposomes when water is added to the array.

One example of an amphiphile which is not capable of  
15 forming liposomes is lyso-lecithin. In most aqueous environments, this amphiphile forms micelles rather than small unilamellar vesicles and it is therefore unsuitable for use in the preparation of liposomes. It is however extremely useful in the process of the present invention,  
20 particularly when used in conjunction with a compatible hydrophobic solvent such as oleic acid.

One advantage of the preparations of the present invention is that they are essentially anhydrous and  
25 therefore stable to hydrolysis. They are also stable to freeze-thawing and have greater stability at high temperatures, probably because water must be present in order for the protein to unfold and become denatured. This means that they may be expected to have a much  
30 longer shelf life than aqueous preparations of the hydrophilic species.

The solutions of the present invention are extremely versatile and have many applications. They may either be

used alone or they may be combined with an aqueous phase to form an emulsion or similar two phase composition which forms yet a further aspect of the invention.

5 In this aspect of the invention there is provided a two phase composition comprising a hydrophilic phase and a hydrophobic phase, the hydrophobic phase comprising a preparation of a hydrophilic species in a lipophilic solvent obtainable by a process as described herein.

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Generally, in this type of composition, the hydrophobic phase will be dispersed in the hydrophilic phase.

15 It is surprising that a stable two phase composition of this type can be formed since it might have been expected that the hydrophilic species would not remain in the hydrophobic phase but would, instead, pass to the hydrophilic phase. However, it has been demonstrated that in many cases this does not occur and that the  
20 hydrophilic species does, indeed, remain in association with the dispersed hydrophobic phase and is not present in free solution. It is possible that this is a result of some residual water or other hydrophilic remaining bound to the hydrophilic head group of the amphiphilic  
25 molecule. One advantage of this is that osmotic leakage of the hydrophilic species is not a problem in the compositions of the invention as is the case with some known systems, particularly liposomal systems.

30 The two phase compositions may be emulsions which may either be transient or stable, depending on the purpose for which they are required.

The average size of the emulsion particles will depend on

the exact nature of both the hydrophobic and the aqueous phases. However, it may be in the region of 2  $\mu$ m

Dispersion of the hydrophobic preparation in the aqueous phase can be achieved by mixing, for example either by  
5       vigourous vortexing for a short time for example about 10 to 60 seconds, usually about 15 seconds, or by gentle mixing for several hours, for example using an orbital shaker.

10       Emulsions containing the hydrophobic preparations of the invention can also be used in the preparation of microcapsules. If the emulsion is formed from a gelatin-containing aqueous phase, the gelatin can be precipitated  
15       from the solution by coacervation by known methods and will form a film around the droplets of the hydrophile-containing hydrophobic phase. On removal of the hydrophilic phase, microcapsules will remain. This technology is known in the art, but has proved  
20       particularly useful in combination with the preparations of the present invention.

One way in which the compositions of the present invention may be used is for the oral delivery to  
25       mammals, including man, of substances which would not, under normal circumstances, be soluble in lipophilic solvents. This may be of use for the delivery of dietary supplements such as vitamins or for the delivery of biologically active substances, particularly proteins or  
30       glycoproteins, including insulin and growth hormones.

In a further application, it is possible to encapsulate or microencapsulate, for example by the method described above, nutrients such as vitamins which can then be used,

not only as human food supplements but also in agriculture and aquaculture, one example of the latter being in the production of a food stuff for the culture of larval shrimps.

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In addition, the compositions find application in the preparation of pharmaceutical or other formulations for parenteral administration, as well as formulations for topical or ophthalmic use. For this application, it is often preferable to use an emulsion of the oil solution and an aqueous phase as described above.

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Many therapeutic and prophylactic treatments are intended for sustained or delayed release or involve a two component system, for example including a component for immediate release together with a component for delayed or sustained release. Because of their high stability, the preparations of the invention are particularly useful for the formulation of a macromolecule intended for sustained or delayed release.

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The longer shelf life of the compositions of the present invention is a particular advantage in the pharmaceutical area.

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The hydrophile-in-oil preparations may find application in the pharmaceutical or similar industries for flavour masking. This is a particular problem in the pharmaceutical industry since many drugs have unpleasant flavours and are thus unpopular with patients, especially children.

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A further use is in the cosmetics industry where, again, hydrophobic preparations of hydrophilic compounds can

very easily be incorporated into a cosmetic formulation. Examples of macromolecules which may be used in this way include those with moisturising or enzymatic action of some sort. The invention can also be used for the  
5 incorporation of proteins such as collagen into dermatological creams and lotions.

Finally, the invention has numerous uses in the field of chemical and biological synthesis, for example, non-  
10 aqueous enzymatic synthesis.

The invention will now be further described with reference to the following examples.

15 **EXAMPLE 1**

Salmon calcitonin was solubilised in an oil phase as follows. All chemicals used in this and other examples were of analytical or chemical grade.

20 Purified egg phosphatidyl choline dissolved in chloroform/methanol, 2:1 (v/v) was rotary evaporated to form a dry film and distilled water added to give a lipid concentration of 100mg/ml. After flushing  
25 thoroughly with nitrogen, the lipid was dispersed by vigorous vortexing, followed by probe sonication for a total period of five minutes, until an opalescent dispersion of small unilamellar vesicles (SUVs) was obtained. Throughout the sonication step, the vessel was  
30 immersed in an ice slurry bath, and sonication carried out in 30 second bursts, interspersed with 30 second cooling intervals. The suspension of SUVs was centrifuged at 1000 xg for 10 minutes to pellet aggregated lipid, and the supernatant was decanted and diluted two-fold in

distilled water to give a concentration of 50mg of lipid per ml.

5 A solution of salmon calcitonin was prepared by dissolving 5mg of the protein to 1ml of distilled water, to give a clear solution. Equal volumes (0.5ml) of protein solution and lipid dispersion were added together in a 10ml round-bottomed test tube, and mixed well. The resultant mixture was shell-frozen in liquid nitrogen, 10 and lyophilised overnight under a vacuum of less than 0.1 mbar and a condenser temperature of -45°C.

The following day, 300mg of oleic acid BP was added to the lyophilisate, and mixed gently to bring all the dry 15 solid into contact with the oil. The mixture was allowed to stand at room temperature for a period of about one to two hours, with occasional mixing, during which time all the solid was taken into the oil to form a single clear lipid phase, which was optically transparent.

20

#### EXAMPLE 2

Soy phosphatidyl choline SUVs at a concentration of 100mg/ml, were prepared by vortexing dry lipid in 25 distilled water and then sonicating as in Example 1. An aqueous solution of salmon calcitonin was prepared at 10mg/ml, and an aliquot of 0.8g was transferred to a test tube. 1g of SUVs was added, and the contents shell frozen and freeze-dried overnight. 1.2g of 95% oleic 30 acid was added to the lyophilate and dispersion carried out as in Example 1. The calcitonin preparation, which contained 6.7mg protein/g oleic acid, was completely clear.



**EXAMPLE 3**

5 An aprotinin-containing lyophilate was prepared under the same conditions as described for salmon calcitonin in Example 2 above, and then stored for 5 days at 4°C, in a desiccator together with phosphorus pentoxide, in conditions under which essentially all water, whether bound or free, would be expected to be removed. Subsequent dispersion in oleic acid was not impaired, since addition of 300mg of oleic acid gave an optically clear oil phase.

**EXAMPLE 4**

15 Soy phosphatidyl choline SUVs at 100mg/ml in distilled water were prepared as in Example 2. Salmon calcitonin solution at 20mg/ml was prepared by dissolving 31.8mg in 1.59g with distilled water and 0.67g of the clear solution (13.4mg of protein) was mixed with 1.0g SUVs (100mg of PC) in a test-tube. The mixture was shell-frozen, freeze-dried and then dispersed in oleic acid as in example 1 (but using 200mg oleic acid). The resulting clear oil phase contained 42.7 mg calcitonin/g.

**EXAMPLE 5**

25 Egg PC SUVs at a concentration of 100mg PC/ml were prepared as in Example 1. An insulin solution at 10mg/ml was made by preparing an aqueous suspension at a slightly higher concentration, adding glacial acetic acid at a rate of 20mg/g of insulin used, and then adding sufficient water to give the desired insulin concentration. After mixing and standing for 15 minutes, a clear solution was formed. To 1.25ml of SUVs in a test-

tube, were added 1.25ml of insulin solution, and the mixture shell-frozen and freeze-dried overnight. The following day, 0.252g of oleic acid was added and the tube vortexed to completely "wet" the lyophilate. The preparation was left overnight for complete dispersion to occur, after which it had the appearance of a yellow, optically clear dispersion displaying strong opalescence. After 7 weeks storage under nitrogen in a sealed tube, at room temperature, there was no apparent change in the appearance (eg sedimentation) of the DPLC preparation.

#### EXAMPLE 6

SUVs were prepared as in Example 2 using 100mg of soy phosphatidyl choline per g, and insulin solution prepared as in Example 2. 50mg of SUVs (5mg of PC) and 47mg of insulin solution (0.47mg protein) were added to a small glass vial, frozen, freeze-dried and dispersed in 100mg of diolein. The initial granular dispersion became clear after 2 hours.

#### EXAMPLE 7

95% pure egg phosphatidyl choline, provided in a dry form, was dispersed directly in distilled water by vortexing, to give a suspension of multilamellar vesicles with a phospholipid concentration of 100mg/g. The material was extruded 3 times at a pressure of 20,000psi through an EmulsiFlex™ apparatus, following the manufacturer's instructions. The resulting opalescent dispersion was diluted to 50mg/ml with distilled water, flushed with nitrogen and stored at 4°C until needed. 30mg of salmon calcitonin, together with 30mg of aprotinin, were dissolved together in 6ml distilled water

and then mixed with 39.6ml of the phospholipid dispersion prepared above. The mixture was dispensed into appropriately-sized aliquots, shell frozen, freeze-dried and then a total of 47.5g of glycerol mono-oleate BP  
5 (melted by warming to 40°C, added, mixing as described in example 1 to produce an optically clear opalescent dispersion of DPLC.

#### EXAMPLE 8

10

Synthetic dipalmityl phosphatidyl choline was dissolved in a solvent mixture of chloroform/methanol, 2:1 (v/v) and then rotary evaporated to form a thin film. The dried lipid was dispersed in distilled water at a concentration  
15 of 30mg/ml and sonicated to form an opalescent dispersion of SUVs. The procedure was generally similar to Example 1, except that the temperature was maintained at about 45°C, ie above the transition temperature of the lipid. A solution of insulin at 10mg/ml was prepared as in  
20 Example 4, and 0.14ml aliquots (1.4mg of protein) were transferred to small tubes, together with 0.5g aliquots of SUVs (15mg of DPPC). The mixtures were shell frozen and freeze-dried, and the resulting lyophilates dispersed in 300mg of oleic acid to give clear "solutions" of  
25 protein. Comparative experiments showed that it was not necessary to work above the transition temperature when dispersing the lyophilates.

#### EXAMPLE 9

30

A micellar solution of egg lysophosphatidyl choline in water was prepared at a concentration of 36mg/ml, and 0.137ml transferred to a small tube. A solution of insulin at 10mg/ml was prepared as in Example 4 and

0.137ml transferred to the above tube. The tube contents were shell frozen, freeze-dried and then mixed with 0.3g of oleic acid, to produce a clear dispersion containing 4.6mg insulin/g of oleic acid, having an absorbance of 0.023 at 600nm.

#### EXAMPLE 10

Soy phosphatidyl choline SUVs at 100mg/ml were prepared as in Example 2 and insulin solution containing 10mg/ml, was prepared as in Example 4. Into each of 5 tubes was added 0.1ml of insulin solution (1mg of protein), and 0.3mg of SUVs (30mg of PC), and the mixtures were shell-frozen and freeze-dried overnight. The resulting lyophilates were each dispersed in 300mg of one of a series of fractionated coconut oil, viz. Miglyols 810, 812, 818, 829 and 840. (The word Miglyol is a trade mark). All preparations except that to which Miglyol 829 had been added, dispersed rapidly to form opalescent "solutions", while the latter formed a thick, opaque gel. The dispersions became clearer on standing so that by the following day, Miglyols 812, 818, and 840 were completely clear and Miglyol 810 was slightly opalescent. Miglyol 829 remained as a turbid gel.

#### EXAMPLE 11

Soy phosphatidyl choline SUVs were prepared as in Example 2, and salmon calcitonin solution was prepared at 10 mg/ml. 100uL of calcitonin solution (1mg of protein) and 300mg of SUVs (30mg of PC) were added to each of 6 small test-tubes, and the contents shell frozen and freeze-dried. To each of 5 of the lyophilates was added 300mg of Miglyol 810, 812, 818, 829 or 840, while 300mg of

medium chain triglyceride oil (MCT) oil was added to the sixth. After mixing, all preparations were opalescent or turbid but became clearer on standing. By the following morning, the dispersions in Miglyol 810 and 840 were  
5 turbid, those in MCT oil and Miglyol 812 were opalescent and those in Miglyols 818 and 829 were completely clear. On warming gently, the opalescent and turbid dispersions became clear, but reverted to their previous form after standing overnight at room temperature.

#### 10 EXAMPLE 12

An aqueous solution of Candida cylindracea lipase at 10mg/g was prepared, together with a preparation of soy  
15 phosphatidyl choline SUVs at 100mg/ml (see Example 2). 50 $\mu$ L aliquots of lipase solution (0.5mg of protein) were added to several small test-tubes and 100 $\mu$ L of SUVs (10mg of PC) added to each. The mixtures were immediately frozen, freeze-dried, flushed with nitrogen and then  
20 sealed with parafilm before storing in the freezer. One of the lyophilates was thawed and mixed with 0.44g of linoleic acid, forming a completely clear dispersion of DPLC after 30 minutes. 0.1g of cholesterol was dissolved in the oil and the tube flushed with nitrogen, sealed  
25 with parafilm and transferred to a 37°C incubator. After 2 days, the mixture was analysed by reverse-phase thin layer chromatography and showed, in addition to the initial reactants, a spot of identical R<sub>f</sub> value to a standard of cholesterol linoleate. This indicates that  
30 the enzyme solubilized within the oil phase is catalytically active. This synthetic esterification reaction is in contrast to the normal hydrolytic processes usually associated with lipase action.

**EXAMPLE 13**

Soy phosphatidyl choline SUVs at 100mg/ml were prepared as in Example 2. Two 0.15ml aliquots (15mg of PC) were  
5 dispensed into small test-tubes and 0.33g of an aqueous solution of mushroom tyrosinase at 3mg/ml, was added to each. The contents were shell frozen and freeze-dried. To one lyophilate was added 0.3g of oleic acid, and to the other, 0.3g of MCT oil, after which the tubes were mixed  
10 by vortexing. The MCT preparation formed a clear brown dispersion after 45 minutes while the oleic acid one took 2 hours.

The dispersions were tested against 2 separate substrates  
15 for the enzyme, catechol and tyrosine, and the results compared with those obtained with an aqueous solution of free enzyme. Each reaction was carried out in the presence of proline. When free enzyme was used, each substrate was gradually converted to an intensely  
20 coloured pigment. However, in the presence of the DPLC dispersions, pigment formation occurred with catechol but not with tyrosine. Colour intensity was less with the oleic acid preparation than with MCT oil, possibly due to an effect of low pH on enzyme activity. The same pattern  
25 of substrate conversion was seen when the oil phases were temporarily emulsified within the aqueous phase by vortexing. However, when emulsification was carried out in the presence of Triton X100™, pigment formation occurred with both substrates.

30

The proposed explanation for the observed behaviour is that catechol is able to partition into the oil and gain access to the encapsulated enzyme, whereas tyrosine is confined to the aqueous phase. The quinone product of

enzyme action on catechol can then partition back into the aqueous phase and interact with proline to form a coloured product. This experiment demonstrates that the enzyme is encapsulated in an active form within the oil, and is not released into the aqueous phase during dispersion. However, when a surface active agent such as Triton X100™ is added, the enzyme is released and free to act on either substrate.

#### 10      **EXAMPLE 14**

Colloidal gold, of mean particle diameter 30nm, was prepared and stabilised by adding bovine albumin to 0.001%. 0.2g of the wine red gold sol was weighed into a small tube together with 0.2g of egg phosphatidyl choline SUVs at a concentration of 100mg/ml, prepared as in Example 1. The mixture was shell frozen, freeze-dried and then mixed with 0.3g of oleic acid. After about 2 hours, with occasional shaking, the dispersion had cleared completely to form a red coloured oil phase which closely resembled the original aqueous gold sol.

#### **EXAMPLE 15**

1 mg of the peptide antibiotic, Nisin, was mixed with 0.2g of the same egg phosphatidyl choline SUVs used for Example 14, and treated in similar fashion. A crystal clear dispersion had formed within 2 hours.

#### 30      **EXAMPLE 16**

Tyrosinase was incorporated into oleic acid as described in Example 13. One part (by weight) of this dispersion was added to four parts of 20% aqueous gelatine warmed to

50°C, mixed rapidly by vortexing, and then transferred to a small beaker where mixing was continued using a magnetic stirrer. Two parts of 20% aqueous sodium sulphate were added dropwise and uniformly, and the mixture was then poured into 40 parts of 7% aqueous gelatine at 15°C while the latter was being magnetically stirred. Mixing was continued for a further minute and then a portion of the resulting gelatine microcapsules were tested for enzyme activity using catechol and tyrosine as substrates. As seen in Example 13, colour formation occurred with catechol but not with tyrosine, indicating that the enzyme/lipid complexes had remained intact within the oil phase during microencapsulation of the oil.

#### EXAMPLE 17

10mg of cytochrome C, haemoglobin and FITC-dextran were each dissolved in 1 ml of distilled water. 4.4mg of RNA (extracted from Torula yeast) was dissolved in 1ml of phosphate-buffered saline. 2 x 50µl of each of these solution was dispensed into fresh glass tubes, and to one of each of these sets of solutions was added 250µl of SUVs prepared as in example 1 at a concentration of 40mg/ml of egg yolk lecithin (PC).

Both sets of tubes were shell-frozen and lyophilised overnight as above. The following day 300µl of oleic acid was added to each of the tubes. The samples were left to stand at room temperature with occasional vortexing for one hour until complete dispersion had been achieved. The oils were allowed to stand overnight. The turbidity of the samples prepared without PC was greater than those containing PC.



The following day, sediments had settled out in the samples prepared without PC. Without disturbing any of the samples, the top 150 $\mu$ l of each sample was taken off, and the absorbances measured of both the material removed, and the material left behind, after mixing; comparison of the two readings allowed one to calculate the percentage sedimentation for samples with and without PC (error in measurements  $\pm 5\%$ ). Values obtained are listed below.

10

Macromolecule	Percent sedimentation after 24 hours	
	+ PC	-PC
Cytochrome C	3.1	76
Haemoglobin	0	44
15 FITC dextran	0	68
RNA	0	73

#### EXAMPLE 18

20

Procedure as in Example 1, except that protein solutions consisted of ferritin or horseradish peroxidase (HRPO) at a concentration of 10mg/ml in ammonium acetate (10mg/ml), and the SUVs were composed of egg yolk phosphatidyl choline at a concentration of 50mg/ml) in ammonium acetate (also 10 mg/ml). After lyophilising and dispersing in oleic acid, optically clear solutions were obtained (although ferritin solution showed some opalescence, and was strongly coloured).

30

The HRPO solution was divided into two equal portions,

and one portion was put through five freeze-thaw cycles by cooling repeatedly to +4°C, solidifying the oleic acid each time, before bringing it back to room temperature. No difference in optical clarity was observed between  
5 samples freeze-thawed, and samples left untouched.

#### EXAMPLE 19

10 In the following procedure, a different method was employed to solubilise insulin in oil phase from that described above. The preparation was performed several times in parallel using different quantities of phosphatidyl choline (PC).

15 100mg of insulin were dissolved in 2ml of glacial acetic acid with vortexing. 500mg of PC were dissolved in 5ml of glacial acetic acid with vortexing. Into each of five glass 2ml screw-capped vials, 100µl of the insulin solution were dispensed. Different volumes (100, 200,  
20 300, 400, 500µl) of PC solution were dispensed into each of these vials, and the contents of each mixed well.

The solutions were evaporated to dryness under a stream of nitrogen with vortexing, then the last remnants of  
25 solvent were removed at room temperature under vacuum ( $8 \times 10^{-2}$  mbars) in a lyophiliser overnight. The following day 300µl of oleic acid was added to each vial, and the contents of the vials mixed gently on an orbital shaker for a further 24 hours.

30 Absorbances of 150µl each of the solutions were measured at 450nm, using an automated plate reader. The results were as follows:

	Tube number	1	2	3	4	5
	Insulin (mg)	5	5	5	5	5
	Oleic acid (mg)	300	300	300	300	300
	PC (mg)	50	40	30	20	10
5	PC:Insulin ratio	10:1	8:1	6:1	4:1	2:1
	OD <sub>450</sub>	0.123	0.124	0.116	0.115	0.714

10 All the solutions obtained appeared optically clear, except for #5, which was turbid and scattered light strongly.

#### EXAMPLE 20

15 The same procedure employed as described in Example 19, except that bovine brain sphingomyelin was used instead of phosphatidyl choline, resulting in proportions by weight of insulin/sphingomyelin/oleic acid of 5/50/200.

20 A completely clear solution was obtained after mixing the dried solids with the oil for one hour. On leaving to stand at room temperature for several days, a solid opaque gel was formed, which gave a clear solution again on warming to 37°C.

25

#### EXAMPLE 21

30 Procedure as in Example 1, except that protein solutions consisted of horseradish peroxidase (HRPO) at a concentration of 20mg/ml in distilled water, and the SUVs were composed of egg yolk phosphatidyl choline and prepared using the Emulsiflex™ method described in Example 7 at a concentration of 50mg/ml. After lyophilising, the solid material was dispersed in either

35 phytol or glycerol mono-oleate. Optically clear solutions were obtained in each case.

## EXAMPLE 22

Wheat germ tRNA was dissolved in distilled water at a concentration of 5mg/ml, and 50 $\mu$ l aliquots of the solution were mixed with 100 $\mu$ l or 50 $\mu$ l of SUVs composed of soya PC at a concentration of 50mg/ml in distilled water. The ratios of PC to tRNA were 20:1 and 10:1 respectively. The mixtures were frozen at -20°C and lyophilised to give a dry white cake. Addition of 100 $\mu$ l of oleic acid, followed by gentle mixing on an orbital shaker, gave an optically clear solution for the 20:1 PC:RNA ratio, in contrast to 10:1 PC:RNA, or tRNA in the absence of PC, which gave turbid suspensions which were optically opaque, as demonstrated by OD readings at 650nm.

PC:RNA ratio	20:1	10:1	0
OD <sub>450</sub>	0.044	0.240	0.657

## EXAMPLE 23

Aprotinin was dissolved in distilled water at a concentration of 10mg/ml. Hexadecyl phosphocholine (HDPoC) was dissolved in distilled water at a concentration of 100mg/ml. To aliquots of 100 $\mu$ l of aprotinin solution, volumes of HDPoC were added ranging from 0 through to 10, 20, 30, 40 to 50 $\mu$ l. The mixtures were frozen at -20°C and lyophilised overnight. The following day 100 $\mu$ l of oleic acid was added to each sample and shaken for one hour at room temperature on an orbital shaker. Optically clear solutions were obtained at lipid:protein ratios of 4:1 and 5:1, as demonstrated by OD readings at 650nm.

Lipid:protein ratio	0	1:1	2:1	3:1	4:1	5:1
OD <sub>650</sub>	1.117	0.869	0.438	0.207	0.094	0.099

**EXAMPLE 24**

4mg of peroxidase was dissolved in 4ml of distilled water, and 100 $\mu$ l aliquots were dispensed into glass screw-capped 2ml vials. 100 $\mu$ l sonicated DMPC (dimyristoyl phosphatidyl choline, 100mg/ml in distilled water) was added to each vial, mixed well and lyophilised.

When the mixture was completely dry, the protein/lipid complex was dispersed by addition to each vial of 100 $\mu$ l of tertiary butanol, either alone or containing OPD (ortho-phenylene diamine) in solution at a concentration of 3mg/10ml. Controls were prepared by adding t-butanol  $\pm$  OPD to tubes either empty, or containing DMPC in the absence of enzyme. Duplicates of each combination were prepared, and to one set of tubes 10 $\mu$ l of cumene hydroxide substrate was added with mixing. After leaving to stand at room temperature for half an hour, the optical density of each sample was read at 450nm in a microplate reader. Results obtained after background subtraction are presented in the table.

ACTION OF PEROXIDASE ON OPD IN PRESENCE OF  
CUMENE HYDROPEROXIDE IN ORGANIC SOLVENT

	Enzyme/DMPC	DMPC alone	Solvent alone
5			
	OPD alone	0.249	0.032
			0.015
	OPD + Cumene	2.517	0.075
10	Hydroperoxide		0.051

## EXAMPLE 25

15 Octyl glucoside was dissolved in distilled water and dispensed into wells of a microplate to give the following amounts of amphiphile per well:- 0, 0.5, 1.0, 1.5, 2.0, 2.5, 5, 7.5 and 10mg. Aprotinin was dissolved in distilled water at a concentration of 5 mg/ml, and 100 $\mu$ l was dispensed into each well above, 20 containing 0.5mg of protein. The plate was mixed well, frozen at -20°C and lyophilised overnight. The following day, 100 $\mu$ l of oleic acid was added to each well. The plate was shaken at room temperature, and optical density measurements at 550nm taken at 25 intervals with a plate reader. A low absorbance value indicates a low level of scattering, and corresponds to effective dispersion of protein in oil.

30 Employing the method described above, the effect of addition of octyl glucoside to act as an amphiphile to aid in dispersion of aprotinin in oleic acid is demonstrated. The results, expressed in terms of optical density as a function of octyl glucoside concentration (at constant protein concentration) at different times 35 after addition of oleic acid are given in the table and

accompanying graph.

	Octyl Glucoside (mg/well)	1 hr	4hr	8hr
5	0.00	0.548	0.458	0.489
	0.50	0.082	0.043	0.103
	1.00	-0.003	-0.008	-0.008
10	1.50	-0.004	-0.015	-0.015
	2.00	-0.007	-0.012	-0.013
	2.50	0.006	-0.004	-0.002
	5.00	0.307	-0.013	-0.014
	7.50	0.65	-0.015	-0.014
15	10.00	1.628	0.817	-0.014

#### EXAMPLE 26

10mg of melanin were added to 1ml of distilled water, and dissolved after raising pH with ammonium hydroxide solution. 50ul of this concentrated solution was diluted 20-fold by addition to 950ul of distilled water. To two rows of seven wells of a microplate 0, 12.5, 25, 37.5, and 50ul of the dilute solution were added, and 12.5 and 25ul of the concentrated solution. To each well of one row was added 100ul of a soya phosphatidyl choline dispersion prepared by sonication for ten minutes with cooling at a concentration of 100mg/ml. The other row was left untouched. the plate was mixed well, frozen at -20°C and lyophilised overnight. The following day, 100ul of M818 were added to wells in the row containing melanin/lipid complex, and 100ul of distilled water was added to the wells in the row containing melanin alone. After all the solutions, which were red-brown in colour,

became completely clear, optical densities at 600nm were measured for all concentrations of melanin. It will be seen from the table and accompanying graph that Beer-Lambert's law is obeyed over this concentration range (ie the response curve is linear), and that the behaviour of melanin is identical for both oil and aqueous solutions. Melanin dispersed in M818 by sonication in the absence of phosphatidyl choline gave a black dispersion, which sedimented out after centrifugation giving a clear supernatant. No such changes were observed after centrifugation of the melanin/lipid complex dispersed in M818.

Measurement at 600 nm			
	Concn (mg/ml)	Miglyol	Water
	0	0	0
	0.0625	0.078	0.072
	0.125	0.157	0.159
	0.187	0.23	0.23
	0.25	0.306	0.333
	1.25	1.565	1.259
	2.5	2.857	2.653

#### EXAMPLE 27

A dispersion of soya phosphatidyl choline was prepared by probe sonication for ten minutes at a concentration of 100mg/ml in distilled water with cooling. One millilitre of this suspension was dispensed into a glass vessel and 660  $\mu$ l of a solution of Neomycin sulphate (5mg/ml in distilled water) was added. After mixing, the contents of the tube were shell-frozen in liquid nitrogen, and lyophilised overnight. The following day, 1g of Miglyol 818 was added, the vessel was flushed with nitrogen, sealed well and allowed to stand at room temperature overnight. An optically clear solution was



obtained.

**EXAMPLE 28**

- 5     The same procedure was used as for Example 27, except that vasopressin was substituted for Neomycin sulphate.

**EXAMPLE 29**

- 10    The same procedure was used as for Example 27, except that 5-Fluorouracil was substituted for Neomycin sulphate.

**EXAMPLE 30**

- 15    The same procedure was used as for Example 27, except that 5-Fluoro deoxyuridine was substituted for Neomycin sulphate.

20    **EXAMPLE 31**

- 0.55ml of ribonuclease solution (containing 10mg RNase /ml), was mixed with 1.6ml of soy PC SUV prepared as in Example 2, shell-frozen in liquid nitrogen and freeze-dried overnight. The lyophilate was mixed with 1.6g of Miglyol 818, vortexed and left to stand. After several  
25    hours a clear dispersion was formed.

**EXAMPLE 32**

- 30    To 32 $\mu$ g of plasmid DNA in 40 $\mu$ l of aqueous solution was added 40 $\mu$ l of 0.2mM spermine solution; the preparation was mixed and allowed to stand for 15 minutes for DNA condensation to occur. Soy PC SUVs were prepared as in

Example 2, but containing 50mg of PC/g, and 40 $\mu$ l was added to the DNA/spermine mixture. The preparation was frozen, freeze-dried overnight and 100 $\mu$ l of Miglyol 818 added to the resulting lyophilate. The preparation  
5 dispersed over a period of 1 hour to give a clear dispersion.

### EXAMPLE 33

10 Two rows of 3 small test tubes were set up. To each tube in the first row was added 57  $\mu$ l of a solution containing 10 mg insulin/ml, prepared as in Example 5. To each tube in the second row was added 0.2ml of  
15 0.267mM carboxyfluorescein (CF). Soy PC SUV containing 100 mg PC/ml were prepared as in Example 2 and 0.2ml added to every tube. The tube contents were mixed, shell-frozen and freeze-dried overnight. Melts of the high melting point triglycerides tripalmitin (TP), triheptadecanoin (TH) and tristearin (TS), each  
20 containing 2% (wt/wt) oleic acid, were prepared by adding the appropriate components together, heating to 78 C until liquifaction occurred and then briefly vortexing. The lyophilates were heated to the same temperature in an oven. To tubes 1, 2 and 3 of the  
25 insulin-containing lyophilates were added 150mg of the TP, TH and TS-containing melts respectively. To tubes 1, 2 and 3 of the CF-containing lyophilates were added 100mg of melts in the same order. After each addition, the tubes were capped, vortexed briefly and then  
30 returned to the oven. After several minutes, all of the CF dispersions were completely clear. The insulin preparations cleared over a period of 1 to 2 hours to form almost clear dispersions.

**EXAMPLE 34**

A series of lyophilates were prepared, each containing 0.3mg glucose (derived from a solution containing 1.5mg/ml) and 6mg of soy PC (derived from SUV prepared as in Example 2. To 3 lyophilates were added 300mg of octanol, oleic acid and Miglyol 818 respectively. The octanol preparation dispersed over a few minutes and the oleic acid and Miglyol preparations over 2 to 3 hours, to form clear dispersions.

**EXAMPLE 35**

Lyophilates were prepared derived from 0.8ml of 25mM calcium chloride together with 0.8ml of soy PC SUV (prepared as in Example 2). To one was added 500mg of Miglyol 818, and to the other, 500mg of oleic acid. The oleic acid preparation formed a completely clear dispersion after several hours, and the Miglyol preparation after standing overnight.

**EXAMPLE 36**

To separate small glass vials were added 1mg of aprotinin and 1mg of insulin, each in the form of 100 $\mu$ l of a solution containing 10mg/ml. The insulin solution was prepared as in Example 5. To each vial was then added 0.2 ml of AOT (supplied as a 10% (w/v) solution in water. The mixtures were shell-frozen, lyophilized overnight, and 200mg octanol added to each. The aprotinin preparation cleared rapidly but after 24 hours had formed an opalescent dispersion. The insulin preparation dispersed more slowly but was clear after standing overnight.

## EXAMPLE 37

20 $\mu$ l of lipase solution containing 0.8mg of Mucor mehii lipase was added to each of 2 small glass vials. To one  
5 vial was added 89 $\mu$ l of a micellar solution containing 36mg lysoPC/ml, and to the other, 96 $\mu$ l of soy PC SUV prepared as in Example 2. The contents of each vial were mixed, frozen in liquid nitrogen and freeze-dried overnight. To each lyophilate was added 500mg of  
10 linoleic acid containing 88mg cholesterol/g and 1mg  $\alpha$ -tocopherol/g. The vials were flushed with nitrogen, sealed and transferred to a roller mixer for 2 hours, by which time each had formed a clear dispersion. The vials were then incubated at 50 C overnight in a  
15 heating block. The mixtures, together with appropriate controls, were analysed by thin-layer chromatography and cholesterol linoleate was found to have been biosynthesised in each preparation.

20 It can therefore be seen that the present invention provides a method of incorporating a hydrophilic species into a lipophilic solution and a versatile product which has many different applications.

CLAIMS

1. A process for the preparation of a single phase hydrophobic preparation comprising a hydrophilic species,  
5 in a hydrophobic solvent, the process comprising:
- (i) associating the hydrophilic species with an amphiphile in a liquid medium;
  - 10 (ii) removing the liquid medium to leave an array of amphiphile molecules with their hydrophilic head groups orientated towards the hydrophilic species and wherein there is no chemical interaction between the amphiphile and the hydrophilic species; and
  - 15 (iii) providing a hydrophobic solvent around the hydrophilic species/amphiphile array.
2. A process as claimed in claim 1, wherein the  
20 hydrophilic species comprises a macromolecule, a small organic or inorganic molecule or a colloidal substance.
3. A process as claimed in claim 2, wherein the  
25 macromolecule comprises a protein, glycoprotein, oligo- or polynucleic acid, polysaccharide or supramolecular assembly thereof.
4. A process as claimed in claim 3, wherein the protein  
30 is insulin, calcitonin, haemoglobin, cytochrome C, horseradish peroxidase, aprotinin, mushroom tyrosinase, erythropoietin, somatotropin, growth hormone, growth hormone releasing factor, galanin, urokinase, Factor IX, tissue plasminogen activator, superoxide dismutase, catalase, peroxidase, ferritin, interferon, Factor VIII

or fragments thereof.

5. A process as claimed in any one of claims 1 to 4, wherein the amphiphile is a phospholipid.

5

6. A process as claimed in claim 5, wherein the phospholipid has a phosphatidyl choline head group.

10

7. A process as claimed in claim 6, wherein the phospholipid is phosphatidyl choline (PC), lyso-phosphatidyl choline (lyso-PC), sphingomyelin, a derivative of one of the above such as hexadecyl phosphocholine or an amphiphile polymer containing phosphoryl choline.

15

8. A process as claimed in any one of claims 1 to 7, wherein the hydrophobic solvent comprises a long chain fatty acid, a medium chain alcohol, a branched long chain alcohol, a monoglyceride, diglyceride or medium chain triglyceride.

20

9. A process as claimed in any one of claims 1 to 8, wherein the amphiphile comprises PC and the hydrophobic solvent is a triglyceride or wherein the amphiphile comprises lyso-PC and the hydrophobic solvent is oleic acid.

25

10. A process as claimed in any one of claims 1 to 9, wherein the hydrophile/amphiphile array is formed by mixing the macromolecules or compound with a dispersion of an amphiphile in a hydrophilic solvent and removing the hydrophilic solvent.

30

11. A process as claimed in claim 10, wherein the

hydrophilic solvent is water.

12. A process as claimed in claim 10 or claim 11,  
wherein the amphiphile assembly comprises micelles,  
5 unilamellar vesicles, for example unilamellar vesicles,  
multilamellar vesicles or a tubular structure such as  
cochleate cylinders, hexagonal phase, cubic phase or  
myelin type structures.
- 10 13. A process as claimed in any one of claims 10 to 12,  
wherein the weight ratio of amphiphile to hydrophilic  
species is from 1:1 to 100:1.
14. A process as claimed in any one of claims 10 to 13,  
15 wherein the hydrophilic solvent is removed by  
lyophilisation.
15. A process as claimed in any one of claims 1 to 10,  
wherein the hydrophilic species/amphiphile array is  
20 formed by co-solubilising the macromolecular compound and  
the amphiphile in a common solvent and subsequently  
removing the common solvent.
16. A process for the preparation of a hydrophilic  
25 species/amphiphile array, the process comprising co-  
solubilising a hydrophilic species and an amphiphile in  
a common solvent and subsequently removing the common  
solvent.
17. A process as claimed in claim 15 or claim 16,  
30 wherein the common solvent is dimethyl formamide,  
dimethyl sulphoxide or glacial acetic acid.
18. A process as claimed in any one of claims 15 to 17,

wherein the solvent is removed by drying under a stream of nitrogen.

5 19. A process as claimed in any one of claims 1 to 9, wherein the hydrophilic species/amphiphile array is formed by emulsifying a solution of the amphiphile in a hydrophobic solvent with a solution of the hydrophilic species in a hydrophilic solvent to give an emulsion and removing the hydrophobic solvent.

10 20. A process for the preparation of a hydrophilic species/amphiphile array, the process comprising emulsifying a solution of the amphiphile in a hydrophobic solvent with a solution of the hydrophilic species in a hydrophilic solvent to give an emulsion and removing the hydrophobic solvent.

15 21. A process as claimed in any one of claims 15 to 20, wherein the weight ratio of amphiphile to hydrophilic species is from about 1:1 to 50:1.

20 22. A process as claimed in claim 20 or claim 21, wherein the emulsion is water-in-oil emulsion.

25 23. A process as claimed in claim 21 or claim 22, wherein the hydrophobic solvent is a low boiling point organic solvent such as diethyl ether.

30 24. A single phase hydrophobic preparation of a hydrophilic species in a hydrophobic solvent, obtainable by a process as claimed in any one of claims 1 to 23.

25. A single phase hydrophobic preparation comprising a hydrophilic species and an amphiphile in a hydrophobic



5 solvent, characterised in that the moieties of the hydrophilic species are surrounded by amphiphile molecules with the hydrophilic head groups of the amphiphile molecules orientated towards the hydrophilic species and in that there is no chemical interaction between the amphiphile molecules and the hydrophilic species.

10 26. A preparation as claimed in claim 24 or claim 25, comprising further small molecules, for example bile salts, pharmaceutical agents or vitamins, in association with hydrophilic species.

15 27. An array of amphiphile molecules and a hydrophilic species characterised in that the hydrophilic head groups of the amphiphile molecules are orientated towards the moieties of the hydrophilic species and wherein there is no chemical interaction between the amphiphile and the hydrophilic species, provided that the amphiphile is one  
20 which is not capable of forming liposomes when water is added to the array.

25 28. A two phase composition comprising a hydrophilic phase and a hydrophobic phase, wherein the hydrophobic phase comprises a preparation as claimed in any one of claims 24 to 27.

30 29. A composition as claimed in claim 28, wherein the hydrophobic phase is dispersed in a continuous hydrophilic phase.

30. A composition as claimed in claim 28 or claim 29 which is an emulsion.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 94/02495

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61K9/107		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR,A,2 519 864 (YAMANOUCHI PHARMACEUTICAL CO., LTD.) 22 July 1983  see page 2, line 32 - page 5, line 31 see page 9 - page 10; examples 6,8 ---	1,2, 5-13,24, 25
X	FR,A,2 381 520 (YAMANOUCHI PHARMACEUTICAL CO., LTD.) 22 September 1978 see page 2, line 38 - page 4, line 35 see page 16; example 20 see page 8; example 14 --- -/--	1-14, 24-27
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filing date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but later than the priority date claimed 'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention 'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. 'd' document member of the same patent family		
Date of the actual completion of the international search  6 April 1995		Date of mailing of the international search report  21.04.95
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patendaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016		Authorized officer  Benz, K

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 94/02495

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	WO,A,86 02264 (LUISI) 24 April 1986 see page 1, line 1 - page 16, line 34 -----	24-27

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PCT/GB 94/02495

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